

# QUICK ENROLLMENT CARD

The State of Alabama Deferred Compensation Plan offers you powerful tools to help you reach your retirement dreams. This Plan allows you to save and invest extra money for retirement. You will be able to save and invest consistently and automatically, choose from a variety of investment options, and learn more about saving and investing for your financial future.

**ENROLL TODAY BY COMPLETING THE INFORMATION BELOW AND RETURNING THIS FORM TO THE ADDRESS LISTED ON THE REVERSE SIDE.**

**I will enroll in the State of Alabama Deferred Compensation Plan.**

- I would like to contribute \$ \_\_\_\_\_ per pay period of my eligible compensation on a **before-tax** basis.
- I would like to contribute \$ \_\_\_\_\_ per pay period of my eligible compensation on a **Roth** basis.

Name \_\_\_\_\_ Social Security Number \_\_\_\_\_

Street Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ ZIP \_\_\_\_\_

Phone Number: Home \_\_\_\_\_ Work \_\_\_\_\_

Email \_\_\_\_\_

Gender:  Male  Female Date of Birth \_\_\_\_\_ Date of Hire \_\_\_\_\_

Marital Status:  Married  Unmarried Payroll Center Name and Number \_\_\_\_\_

Do you have a retirement savings account with a previous employer or an IRA:  Yes  No

**Investment Option:** I understand that this form is my election to enroll in the Plan. By signing this form, my contributions will be allocated to the Plan's default investment fund without additional action by me. If I wish to contribute to any of the investment options of the Plan other than the default fund, I understand that I must contact my Plan Administrator or local representative to obtain a Participant Enrollment Form. The Plan has selected a TARGET DATE portfolio of funds as its default investment fund.<sup>1,2</sup> Until such time as you choose investment options for your Plan account, your contributions will be invested in the fund within this portfolio that most closely corresponds to certain factors in your profile. For more information, please contact your Empower Retirement Representative. I acknowledge that information about Plan investment options, including prospectuses, disclosure document and Fund Data sheets are available to me through my Plan Administrator or Plan website. I understand the risks of investing and that all payments and account values may not be guaranteed and may fluctuate in value.

I understand that funds may impose redemption fees on certain transfers, redemptions or exchanges if assets are held less than the period stated in the fund's prospectus or other disclosure documents. GWFS Equities, Inc., or one or more of its affiliates, may receive a fee from the investment option provider for providing certain recordkeeping, distribution, and administrative services. I understand that I have the right to direct the investment of my account and that I can change my investment allocation from the Plan's default fund at any time by logging on to my account at [www.AlabamaRetire.com](http://www.AlabamaRetire.com) or by calling the voice response system at 1-877-313-2262. A personal identification number (PIN) that gives you access to your account via the web or phone will be mailed to you soon after your application is processed.

*You are responsible for keeping the assigned PIN confidential. Please contact us if you suspect unauthorized use.*

**My Account:** I understand that it is my obligation to review all confirmations and quarterly statements for discrepancies or errors. Corrections will be made only for errors which I communicate within 90 calendar days from the last calendar quarter. After this 90 days, account information shall be deemed accurate and acceptable to me. If I notify Service Provider of an error after this 90 days, the correction will only be processed from the date of the notification forward and not on a retroactive basis.

**Beneficiary Designation:** I understand that I must choose a beneficiary of my account with this Plan by filing a separate Beneficiary Designation form with the Service Provider.

**Required Signature:** By signing this form, I verify that this enrollment was unsolicited. I also acknowledge that I have previously received detailed information about this Plan from my employer and understand that my participation in the Plan must be in compliance with the Plan Document and/or the Internal Revenue Code. I understand that the Service Provider is required to comply with the regulations and requirements of the Office of Foreign Assets Control, Department of the Treasury ("OFAC"). As a result, Service Provider cannot conduct business with persons in a blocked country or any person. For more information, please access the OFAC website at: <http://www.treasury.gov/about/organizational-structure/offices/Pages/Office-of-Foreign-Assets-Control.aspx>. Deferral agreements must be entered into prior to the first day of the month that the deferral will be made.

Participant Signature \_\_\_\_\_

Date \_\_\_\_\_

**MAIL OR FAX COMPLETED FORM TO:**

Empower Retirement  
PO Box 173764  
Denver, CO 80217-3764  
Fax: 1-866-745-5766

**HOW TO CONTACT THE STATE OF ALABAMA  
DEFERRED COMPENSATION PLAN:**

Call: 334-240-0057  
Visit: [www.AlabamaRetire.com](http://www.AlabamaRetire.com)

*A personal identification number (PIN) that gives you access to your account via the web or phone will be mailed to you soon after your application is processed.*

*Please consider the investment objectives, risk, fees and expenses carefully before investing. For this and other important information you may obtain mutual fund prospectuses for registered investment options and/or disclosure documents from your registered representative or Plan website. Read them carefully before investing.*



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- 1 Asset allocation and balanced investment options and models are subject to the risks of the underlying investments, which can be a mix of stocks/stock funds and bonds/bond funds.
  - 2 The date in a target date fund's name represents an approximate date when an investor is expected to retire (which is assumed to be at age 65) and/or begins withdrawing money. The principal value of the funds is not guaranteed at any time, including the target date.

**Securities offered or distributed through GWFS Equities, Inc., Member FINRA/SIPC and a subsidiary of Great-West Life & Annuity Insurance Company.**

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